



AUDIT SUMMARY

Central Connecticut State University State Data Center General Controls

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As of August 2023

BACKGROUND



Central Connecticut State University in New Britain is one of the four higher education institutions that collectively make up the Connecticut State University component of the Connecticut State Colleges and Universities System (CSCU). The Board of Regents for Higher Education, which serves as the administrative office for CSCU, oversees the university.

Central Connecticut State University's information technology (IT) group provides oversight of the technology related needs of the university's students, staff, and faculty. It maintains a dedicated data center to provide for the operational needs of the school, as well as individual computers and labs, networking, communications, administration of the Banner information system, and help desk support. The IT group represents a critical function of the university.

ABOUT THE AUDIT

We have audited certain operations of the Central Connecticut State University in fulfillment of our duties under Section 2-90 of the Connecticut General Statutes. The scope of our audit included, but was not necessarily limited to, university data center general controls as of August 2023. The objectives of our audit were to evaluate the:

1. University's internal controls over significant information technology resources;
2. University's compliance with policies and procedures internal to the university or promulgated by other state agencies, as well as certain legal provisions; and
3. Effectiveness and efficiency of certain management practices and operations.

We conducted this performance audit in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

[Link to full report](#)

1 Finding

Our audit identified one finding involving an internal control deficiency and a need for improvement in practices and procedures that warrant management's attention.

NOTEWORTHY FINDING



Finding

Our review determined that while Central Connecticut State University maintains detailed procedures for some of the required information security policy elements, others appeared to be missing.



Recommendation

Central Connecticut State University should ensure it maintains policies to mitigate threats to its information technology assets in compliance with industry accepted practice and its information security policy (Recommendation 1).



Effect

The absence of complete and robust policy level documents **negatively affects** the design, **implementation, and effectiveness** of internal controls.