



AUDIT SUMMARY

Connecticut Lottery Corporation

State Data Center General Controls

www.cga.ct.gov/apa

As of June 2022

BACKGROUND



The Connecticut Lottery Corporation is a quasi-public agency of the State of Connecticut, generating revenues through sales of lottery tickets and similar games of chance. It is funded through game receipts and turns over any net income after expenses to the state's General Fund. Since its inception in 1971, the corporation has contributed over \$11 billion in revenues to the state, including over \$400 million in each of the past two fiscal years.

ABOUT THE AUDIT

We have audited certain operations of the Connecticut Lottery Corporation in fulfillment of our duties under Section 2-90 of the Connecticut General Statutes. The scope of our audit included, but was not necessarily limited to, internal controls as of June 2022. The objectives of our audit were to evaluate the:

1. Corporation's internal controls over significant information technology functions;
2. Corporation's compliance with policies and procedures internal to the corporation or promulgated by other state agencies; and
3. Effectiveness and efficiency of certain management practices and operations.

We conducted this performance audit in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

[Link to full report](#)



Our audit did not identify any internal control deficiencies, noncompliance with policies and procedures, or a need for improvement in management practices and procedures that we deemed to be reportable.

What we evaluate during a Data Center Audit



The Auditors of Public Accounts Information Technology (IT) Unit conducts data center audits to evaluate security risks and provide an overall view of an agency's IT environment. Our process generally focuses on four key areas:

- **Maintenance** – How well do agencies keep their IT systems current, patched, and operational?
- **Personnel** – Does the agency adequately staff its IT group and is the group able to perform its duties?
- **Planning** – How well do agencies insulate their IT systems from potentially detrimental disruptions to operations, unauthorized access, and similar activities?
- **Security** – How well does the agency physically and logically protect its systems and ensure it backs up its data and keeps it accessible?

What we found



Our audit of the Connecticut Lottery Corporation data center did not identify any reportable internal control deficiencies, noncompliance with policies and procedures, or a need for improvement in management practices and procedures. Therefore, we are not reporting any findings.

What we reported



Here is a link to our [full audit](#) of the Connecticut Lottery Corporation Data Center.