



# AUDIT SUMMARY

Department of Public Health  
State Data Center General Controls

[www.cga.ct.gov/apa](http://www.cga.ct.gov/apa)

As of June 2022

## BACKGROUND



The Department of Public Health (DPH) is a repository for and custodian of state residents' health information and vital statistics. The department provides personal health and wellness training and guidance,

assists and educates pregnant mothers and new parents, promotes environmental health guidance, and conducts research and statistical analysis on multiple topics. Furthermore, it provides oversight and licensure for various practitioners including medical and dental professionals, caregivers, barbers, beauticians, and therapists. The department also maintains and operates a lab for testing infectious diseases, water supplies, and similar samples.

## ABOUT THE AUDIT

We have audited certain operations of DPH in fulfillment of our duties under Section 2-90 of the Connecticut General Statutes. The scope of our audit included but was not necessarily limited to internal controls as of June 2022. The objectives of our audit were to evaluate the:

1. Department's internal controls over significant information technology functions;
2. Department's compliance with policies and procedures internal to the department or promulgated by other state agencies; and
3. Effectiveness and efficiency of certain management practices and operations.

We conducted this performance audit in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

[Link to full report](#)

**3 Total Findings**

Our audit identified deficiencies in internal controls, apparent non-compliance with policies and procedures, and a need for improvement in management practices and procedures that we deemed to be reportable.

## NOTEWORTHY FINDINGS



### Findings



### Recommendations

**1**

The Department of Public Health has not updated its Information Security Plan since June 2018.

DPH should periodically update and appropriately review its policies and procedures.

**2**

The Department of Public Health did not classify its information systems and data.

DPH should conduct a comprehensive data classification assessment in accordance with Office of Policy and Management policy.

**3**

The Department of Public Health has not conducted a complete risk assessment of its information technology systems.

DPH should conduct a comprehensive risk assessment for its information technology systems.