STATE OF CONNECTICUT
Auditors of Public Accounts

AUDIT SUMMARY
Office of the Treasurer
State Data Center General Controls

As of May 2022

BACKGROUND
The State Treasurer is one of six state constitutional officers and is responsible for all state financial resources, including monetary receipts, disbursements, investment holdings, and bonding. The Treasurer is also the custodian of the state’s pension obligations, debt service, second injury fund, and unclaimed property escheatments.

The Office of the Treasurer’s IT group oversees all aspects of the agency’s computer operations, including desktop support, networking and connectivity, systems access, and coordinating and maintaining servers and information systems thereon. While some such systems are owned and operated by vendors and third parties (e.g., online bank account access), this group supports many in-house platforms. Overall, the IT group administers a critical function for the agency, facilitating much, if not all, of its operations.

OFFICE OF THE TREASURER - STATE DATA CENTER GENERAL CONTROLS

Our audit identified internal control deficiencies; instances of apparent non-compliance with policies and procedures; and a need for improvement in management practices and procedures that we deemed to be reportable.

ABOUT THE AUDIT
We have audited certain operations of the Office of the Treasurer in fulfillment of our duties under Section 2-90 of the Connecticut General Statutes. The scope of our audit included, but was not necessarily limited to internal controls as of May 2022. The objectives of our audit were to evaluate the:

1. Office’s internal controls over significant information technology functions;
2. Office’s compliance with policies and procedures internal to the office or promulgated by other state agencies; and
3. Effectiveness and efficiency of certain management practices and operations.

We conducted this performance audit in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

Note: Full report link is not fully legible, but it appears to provide further details on findings and recommendations.